

UNIFORM JUDICIAL QUESTIONNAIRE

CONFIDENTIAL

1. Full Name:

Have you ever been known by any other name (other than a recognizable nickname)? ___Yes ___No

If yes, specify the name(s) and year(s) of name change and/or the years during which the other name or names were used:

2. Date, place of birth and country of citizenship:

3. Current residence address:

4. Current home telephone:

Number of years at current address: _____ If less than one year, previous address(es) during past year:

5. Do you rent, other than for a season, or own any additional homes? ___Yes ___No If yes, please list address(es):

6. Current business address:

7. Current business telephone:

8. Identify the Court or public office and, where applicable, Judicial Department, Judicial District, County, or Court District for which you are a candidate and state whether you are a candidate for election or for appointment:

9. (*For elective offices*) Political party or parties which are supporting your candidacy, or of which you are seeking nomination:

10. Did you serve in the armed forces? ___Yes ___No If yes, please give the following information:

Dates of Service

Branch of Service

Nature of Discharge

11. List in reverse chronological order all employment and periods of unemployment since graduation from law school. (If law school attendance did not commence within a few months following completion of undergraduate course study, list also all employment and periods of unemployment between college and law school.) Continue on additional page, if necessary.

Name of Firm or Employer	Address	Name of Supervisor	Dates of Employment (Month /Year)	Nature of Employment (or activity while unemployed)	Reason for Leaving

12. (a) Have you ever held public or political office, elected or appointed, other than ones listed in answer to Question 11 above? *Yes* *No* If yes, please state position held, dates of service and whether the office was attained by election or appointment:
- (b) Do you or any members of your family hold any memberships (other than voter registration) in political organizations or engage actively in any political activities? *Yes* *No* If yes, please describe:
- (c) Are you related by blood or marriage to, or do you have a significant relationship with anyone involved in the appointing or nominating process with respect to the position you are seeking? *Yes* *No* If yes, please give name, position and relation:
- (d) Are you related by blood or marriage to, or do you have a significant relationship with any attorney or judge, or any other person whose employment, position, affiliation or activity may reasonably create, with respect to your carrying out the duties of the office you are seeking, the appearance of a conflict of interest? *Yes* *No* If yes, please supply name, relation and employment, position, affiliation or activity:
13. Have you ever been engaged, on your own account or with others, in any business or profession, part-time or full-time, other than those listed in your answer to Question 11 above? *Yes* *No*

Type of Business or Profession	Name of Employer	Address	Position Held	Dates (Mo. / Yr.)	Reason for Termination of Business

14. (a) Have you ever been issued a license, other than a marriage license, license to practice law, license as a notary public or a driver's license? Yes No If yes, please describe the license, and list the dates of its initial issuance and its last renewal:

(b) Has any license, including a license to practice law, a license as a notary public, or a driver's license, ever been revoked or suspended? Yes No If yes, please describe the circumstances:

15. College and professional schools (other than law schools) attended:

<u>School</u>	<u>Location</u>	<u>Degree</u>	<u>Honors</u>	<u>Dates Attended</u>	<u>Date of Graduation</u>
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16. Law Schools attended:

<u>School</u>	<u>Location</u>	<u>Degree</u>	<u>Honors</u>	<u>Dates Attended</u>	<u>Date of Graduation</u>
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17. (a) List the post-law school continuing legal education courses in which you have participated within the past four years (in reverse chronological order) that qualified for mandatory continuing legal education credit:

<u>Description of Course</u>	<u>Date</u>	<u>Sponsor</u>	<u>Number of Hours</u>
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(b) List all judicial training courses in which you have participated within the past four years (in reverse chronological order):

<u>Description of Course</u>	<u>Date</u>	<u>Sponsor</u>	<u>Number of Hours</u>
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(c) For your most recent biennial registration period, did you satisfy New York State's mandatory continuing legal education requirement? ___Yes ___No If no, please describe the circumstances:

(d) **FOR ATTORNEYS:** Have you complied with all registration requirements for lawyers in the State of New York and any other jurisdiction in which you are licensed to practice law? ___Yes ___No If no, please describe the circumstances:

FOR JUDGES: Have you attended the mandatory Judicial Ethics Training courses offered at the Judicial Institute? ___Yes ___No If yes, please provide dates and location of all such courses attended. If no, please provide the dates and location of any such courses for which you are registered in the future.

18. State all courts in which you are admitted or have ever been admitted to practice, together with dates of admission:

(a) New York (give Judicial Department): **Date of Admission:**

(b) All other Federal and State Courts: **Dates of Admission:**

(i):

(ii):

(iii):

(iv):

19. State all areas of law in which you have concentrated or have had substantial experience for any sustained period of time:

20. (a) Have you ever resigned from a position as, or for other reasons, ceased to be a member of the bar or bench of any state or court in any jurisdiction? ___Yes ___No If yes, please describe the circumstances:

(b) Have you ever resigned from a position as, or for other reasons, ceased to be a member of a governmental body, a hearing officer or magistrate or an occupant of any other similar position? ___Yes ___No If yes, please describe the circumstances:

(c) Have you ever been the subject of a claim, or received individual counseling from an employer arising from an actual or prospective claim, about discrimination or harassment on the grounds of an individual's actual or perceived age, race, creed, color, gender, sexual orientation, religion, national origin, disability, marital status, socioeconomic status, alienage or citizenship status? ___Yes ___No If yes, please describe the circumstances:

(d) It is expected that a judge and other public officials shall not, by words or conduct, manifest, or appear to condone, bias or prejudice, including but not limited to bias or prejudice based upon age, race, creed, color, gender, sexual orientation, religion, national origin, disability, marital status, socioeconomic status, alienage or citizenship status and shall require staff, court officials and others subject to his or her direction and control to refrain from such words or conduct. Will you be able to meet this expectation? *Yes* *No* If no, please describe the circumstances:

21. Are you now, or have you ever been, the subject of any complaint or charge filed with any disciplinary committee, court or supervising judge, government agency or bar association arising out of your official or professional responsibilities during the course of your:

(a) law practice? *Yes* *No*

(b) public or judicial service? *Yes* *No*

(c) campaign for public or political party office? *Yes* *No*

If yes, please describe each complaint or charge and its outcome, including whether the governmental agency or other entity to whom such complaint or charge was made censured you, issued a caution, imposed a sanction or took any other action whatsoever criticizing your conduct, even if the complaint or charge was dismissed:

22. Are you now, or have you ever been the subject of any claim of malpractice, in an action or otherwise? *Yes* *No*
If yes, please describe the claim and/or proceeding and its outcome. If you are or were a member of a firm or organization that was the subject of any claim of malpractice, please describe the claim if it is related to a case or matter on which you worked and state whether your conduct was the subject of the claim:

23. Have you, your firm, your employer or any of your clients ever been cited for contempt or otherwise had a sanction imposed upon you or them as a result of your conduct in any judicial or administrative proceeding? *Yes* *No* If yes, please describe, even if the citation or sanction was later withdrawn, suspended or modified:

24. Have you ever been cited for contempt of court, other than on the occasions listed in your answer to Question 23, or been summoned, arrested or charged with any offense or crime other than a non-moving traffic violation (include proceedings in the armed forces), even if the citation, summons, arrest or charge was later withdrawn, voided, vacated or dismissed? *Yes* *No* If yes, please describe the nature and outcome of each case:
25. In relation to any conduct, act or omission on your part or done with your knowledge, has any Federal, State, City or other governmental agency, or a grand jury initiated or completed an investigation of you or of any law firm, corporation, business, partnership, joint venture, government agency or other similar entity with which you are, or were at the relevant time, affiliated? *Yes* *No* If yes, please describe each investigation and its outcome:
26. Have you ever been involved as a party to any litigation (criminal, civil or administrative), other than an action you have previously identified in answer to Question 22? *Yes* *No* If yes, please describe including the disposition of the matter (e.g., pending, settled, judgment, dismissed):
27. Are there any unsatisfied judgments, tax warrants, tax liens or mechanics' liens outstanding against you or property you own or have an interest in? *Yes* *No* If yes, please describe:
28. Are you in default or has any party claimed that you are in default of any court order, including alimony or support decrees? *Yes* *No* If yes, please describe:

29. Has any petition in bankruptcy ever been filed by or against you? *Yes* *No* If yes, please describe:
30. Have you timely filed all required Federal, State and City income tax returns appropriate to your place of residence? *Yes* *No* If no, please describe:
31. Has any Federal, State, City or other taxing authority claimed that you have failed to pay adequate taxes, penalties or other charges for any tax year? *Yes* *No* If yes, please describe:
32. A judge is expected to be on the bench or otherwise handling legal matters by about 9:30 A.M. for at least seven hours per day, five days per week, and at times, a judge's responsibilities may require him or her to be on the bench or at work into the evenings and on weekends. Are you able to perform these tasks on your own or with reasonable accommodation? *Yes* *No* If no, please describe the circumstances:
33. A judge may be required to handle emergency applications, cope with media scrutiny, issue quick decisions, deal with fractious litigants, recall significant amounts of information, and otherwise respond to extremely stressful situations. Are you able to perform these tasks on your own or with reasonable accommodation? *Yes* *No* If no, please describe the circumstances:
34. Do you currently use any illegal drugs, abuse alcohol, or abuse any prescription drugs? *Yes* *No* If yes, please describe:
35. Has an employer or supervisor ever counseled you regarding, or expressed concern about, your absenteeism?
 Yes *No*
- a. If yes, please describe the frequency of the absenteeism complained of:
- b. Was the situation rectified? If yes, how:

36. Do you know of any factors that would adversely affect your ability to competently serve as a judge, to comply with a judge's ethical responsibilities, or to complete the day-to-day responsibilities that a judge is required to assume? *Yes* *No* If yes, please explain:

37. Are you a member of any bar association or professional organization? *Yes* *No* If yes, please give the following information for each such association or organization:

Name of Association

Dates of Membership

Committee Service

38. Describe any significant community activities in which you have engaged:

39. Have you written articles for publication? *Yes* *No* If yes, please give the name and date of the publication and the title of each article:

40. Have you had any teaching experience in law or related fields? *Yes* *No* If yes, please describe:

41. Have your qualifications for public office previously been reviewed by any bar or other professional association? *Yes* *No* If yes, please identify the organization, state the date of the review, and detail all findings by the organization:

42. (a) If you are seeking elective office, do you subscribe, and have you adhered, to the campaign guidelines established for judicial candidates by the New York State Bar Association (published in the New York State Bar Association Journal; Committee on Professional Ethics Opinion No. 289, dated April 27, 1973)? *Yes* *No* *Not Applicable* If you answered this question in the negative, please explain:

(b) If you are seeking elective office, have you, or has anyone on your behalf, issued any campaign literature concerning the election in which you are a candidate? *Yes* *No* If yes, please attach copies.

- (c) If you are seeking elective office, have you read the rules of the Chief Administrative Judge relating to inappropriate political activity by a judge or a candidate for public election to judicial office (22 NYCRR 100.5) ?

- (d) Have you complied with the rules mentioned in (c) above?

- (e) If you are seeking elective office, please ask your campaign treasurer to provide copies of campaign expenditure reports, if available. Campaign expenditure reports should be sent via electronic mail to the Committee on the Judiciary at the email address noted in the Committee's initial letter to you.

**ATTACH THE FOLLOWING SCHEDULES TO THIS QUESTIONNAIRE.
AS TO EACH SCHEDULE, SPECIFY THE QUESTION NUMBER TO WHICH IT APPLIES.**

In answering Questions 43-51 about your litigation experience, you may include adversarial proceedings before a court, an administrative tribunal or an arbitrator or other forum for alternate dispute resolution. In addition, you should count as "trials" all adversarial evidentiary hearings involving presentation of witness testimony.

43. **For Attorneys:** Attach a statement specifying:
- (a) the types (i.e., civil, criminal or administrative) and number of each type of trials you have conducted in the past ten years;
 - (b) the number of the cases in category (a) of this question which ended in a verdict or judgment or ruling by the trier of fact;
 - (c) the number of cases listed in category (a) of this question which were tried before a jury;
 - (d) the courts or other tribunals in which the cases were tried;
 - (e) the number and types of appeals briefed in the past ten years;
 - (f) the number of appeals argued and the courts in which the arguments were heard;
 - (g) the number and types of dispositive motions you have litigated in the past ten years;
 - (h) the number of dispositive motions you have argued and the courts or other tribunals in which the arguments were heard; and
 - (i) the title and citation of reported cases in which you conducted the trial, wrote the brief and/or argued the appeal, or wrote the papers on the dispositive motion.
44. **For Attorneys:** Submit a list of the last ten trials, dispositive motions, or appeals in which you have actively and substantially participated in any state or federal court at the trial or appellate level, including the title of the case, the index, docket or indictment number, the court in which the case was heard, a concise description of the nature of the case, the date of the trial or oral argument, the name, address and telephone number of each adversary and co-counsel, and the name(s) of the judge(s) who presided at trial or sat on the appellate panel. For each appellate matter, please submit one copy of your brief.
45. **For Attorneys:** Submit a list of the name, court and telephone number of the last ten judges, other than the judges listed in your answer to Question 44, before whom you appeared in the last three years (other than for routine calendar appearances).
46. (a) **For Attorneys:** Attach a statement describing your legal experience other than litigation. Include in that statement a general description of the last ten matters you handled and the names, addresses and telephone numbers of the lawyers, other than your associates, employees, partners, co-tenants, supervisors or employers, with whom you worked on each of those ten matters. For example, judicial law clerks should list the attorneys with whom they have had substantial contact. Similarly, law professors should list attorneys, judges and/or other law professors who are familiar with their work.
- (b) **For Attorneys:** Submit a minimum of three recent examples of your legal writing, and if you are not the sole author of the example, please explain your role in its preparation.
47. **For Judges:** Submit a list of your ten most recent opinions with the citation to each or a copy thereof if not published.
48. **For Judges:** Submit a list of the last ten trials or appeals over which you have presided, including the title and dates of each case, a brief description of the nature of each case, and the names, addresses and telephone numbers of the attorneys involved.
49. **For Judges:** Submit a list of the governmental agencies, private agencies, private lawyers and private law firms which have appeared before you in the last year on a regular basis, specifying the name and phone number of the supervising attorneys for such agencies and law firms.
50. **For all candidates:** Submit a list of ten names, addresses and telephone numbers of any judges, public officials or attorneys whom you suggest the Committee contact with respect to your candidacy.
51. **For all candidates:** Please specify any additional information which is reasonable to expect the Committee would want to know when it considers your qualifications for the office you seek.

